



2/1/08

ATTORNEY DOCKET NO. 24002.0013U3  
PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of	)	
	)	
Franklin, et al.	)	Art Unit: 1751
	)	
Application No. 10/586,597	)	Examiner: Unassigned
	)	
Filing Date: January 24, 2005	)	Confirmation No. 1640
	)	
For: NEMATICIDAL COMPOSITIONS	)	
AND METHODS OF USING THEM	)	

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

NEEDLE & ROSENBERG, P.C.  
Customer Number 23859

Sir:

Pursuant to the requirements of 37 C.F.R. § 1.56, submitted herewith on the accompanying Information Disclosure Statement List is a listing of documents known to Applicants and/or their attorneys. In accordance with 37 C.F.R. §1.98(a)(2), copies of any cited U.S. patent or U.S. patent application publication documents are not enclosed. Copies of any cited foreign patent document and/or any non-patent publication are enclosed.

In accordance with the provisions of M.P.E.P. § 2001.06(b) and 37 C.F.R. § 1.98(b)(3), Applicants would like to bring to the attention of the Examiner the existence of the co-pending

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /N.L./

**ATTORNEY DOCKET NO. 24002.0013U3**  
**Application No. 10/586,597**

patent application(s) identified below, which were filed in the United States Patent and Trademark Office:

	<u>Application No.</u>	<u>Date Filed</u>	<u>Inventors</u>	<u>Attorney Docket No.</u>
1.	11/597,116	11/20/2006	Franklin, et al.	24002.0014U2

The pending application(s) identified above is/are stored in the Image File Wrapper (IFW) system of the USPTO. Accordingly, copies of the cited specification(s), including the claims and drawings thereof, are not enclosed in accordance with the waiver to 37 CFR 1.98(a)(2)(iii) dated September 21, 2004.

This Information Disclosure Statement is believed to be filed in a timely manner pursuant to 37 C.F.R. § 1.97(b)(3), in that a first Office Action on the merits of the present patent application has not yet been mailed to Applicants.

Consideration of the cited documents and making the same of record in the prosecution of the above-referenced application are respectfully requested.

No fee is believed due; however, the Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-0629.

**ATTORNEY DOCKET NO. 24002.0013U3**  
**Application No. 10/586,597**

Respectfully submitted,

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

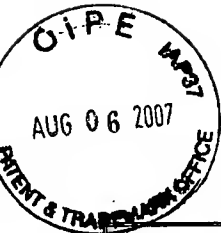
I hereby certify that this correspondence, including any items indicated as attached or included, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.



P. Brian Giles, Ph.D.

8/3/07

Date



# INFORMATION DISCLOSURE STATEMENT LIST

(Use as many sheets as necessary)

Complete if Known

Application Number	10/586,597
Filing Date	1/24/2005
First Named Inventor	Franklin, et al.
Group Art Unit	1751
Examiner Name	Unassigned

## U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No.	Document No.	Date	Name	Class	Subclass	Filing Date (if appropriate)
	A1	3,499,765	03-10-1970	unknown			
	A2	3,767,421	10-23-1973	Gulstad et al			
	A3	3,956,485	05-03-1976	Willett, et al.			
	A4	4,001,480	01-04-1977	Shank			
	A5	4,032,551	06-28-1977	Willett, et al.			
	A6	4,049,828	09-20-1997	Cole et al			
	A7	4,310,554	01-12-1982	Olson et al			
	A8	4,534,983	08-13-1985	Koene et al			
	A9	4,611,608	09-16-1986	Vos et al			
	A10	4,617,945	10-21-1986	Vos et al			
	A11	4,743,620	05-10-1988	Hodgin et al			
	A12	4,810,646	03-07-1989	Jamas et al			
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	A23	5,607,677	03-04-1997	Jamas et al			
	A24	5,618,840	04-08-1997	Wright et al			
	A25	5,622,548	04-22-1997	Zou et al			
	A26	5,629,021	05-13-1997	Wright et al			
	A27	5,673,468	10-07-1997	Pumpe et al			

Examiner Signature: /Neil Levy/ (09/23/2010) Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /N.L./

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	A28	5,673,468	10-07-1997	Pumpe et al			
	A29	5,662,915	09-02-1997	Okioga et al			
	A30	5,662,957	09-02-1997	Wright et al			
	A31	5,700,679	12-23-1997	Wright et al			
	A32	5,756,136	05-26-1998	Black et al			
	A33	5,798,252	08-25-1998	Hobson et al			
	A34	5,849,956	12-15-1998	Koga et al			
	A35	5,919,838	07-06-1999	Mizobuchi			
	A36	5,922,121	07-19-1999	Kwan			
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	A53	2004/0096821	05-20-2004	Keenan et al			
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	A55	2006/0120974	06-08-2006	Mcneight et al			
	A56	2006/0127489	06-15-2006	Crothers et al			

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### FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code	Date	Name	Translation Yes/No
	A57	EP 1106070	06-13-2001	Inabonos, SA	
	A58	EP 0242135	10-21-1987	AD2 Limited	
	A59	EP 0460945	12-11-1991	Mitsubishi Paper Mills	
	A60	EP 0414282	02-27-1991	Behan John Martin, et al.	
	A61	EP 0414283	02-27-1991	Behan John Martin, et al.	
	A62	EP 0528466	02-24-1993	Burger Jacobus Johannes, et al.	
	A63	EP 0844909	06-03-1998	CPC International Inc	
	A64	EP 0913407	05-06-1999	Director General of Fruit Tree	
	A65	EP 1085812	03-28-2001	Enterprise Ireland; Univ. College Cork	
	A66	EP 1161883	12-12-2001	CSM Nederland BV	
	A67	EP 1161878	12-12-2001	CSM Nederland BV	
	A68	EP 1240380	09-18-2002	Unilever NV	
	A69	EP 1413202	04-28-2004	CSM Nederland BV	
	A70	GB1285244	08-16-1972	Oreal	
	A71	GB 1513777	06-07-1978	Cole, L	
	A72	GB 1457098	12-01-1976	Research Corp.	
	A73	GB 1362007	07-30-1974	CIBA Geigy AG	
	A74	GB 2162147	01-29-1986	Dunlop Limited	
	A75	GB 2394416	04-28-2004	Fluid Technologies Plc	
	A76	GB 2395124	05-19-2004	Fluid Technologies Plc	
	A77	GB 2396107	06-16-2004	MICAP PLC	
	A78	GB 2406053	03-23-2005	MICAP PLC	
	A79	JP 55064736	05-15-1980	Yoshida T	Yes
	A80	JP 62294079	12-21-1987	Mitsubishi Paper Mills Ltd	ABSTRACT
	A81	JP 02027903	01-29-2002	Unilever NV	ABSTRACT
	A82	JP 04024042	01-29-2004	Sanei Gen FFI Inc	ABSTRACT
	A83	WO 1991/010772	07-25-1991	British Textile Technology Group	

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	A84	WO 1991/017741	11-28-1991	Peterson	
	A85	WO 1992/010946	09-20-1990	Zenith Electronics Corporation	
	A86	WO 1994/009653	05-11-1994	Quest International B.V.	
	A87	WO 1996/036433	11-21-1996	CPC International Inc	
	A88	WO 1996/038055	12-05-1996	McCormick & Company, Inc.	
	A89	WO 1998/056340	12-17-1998	The Procter & Gamble Company	
	A90	WO 1999/030691	06/24/1999	ATO B.V.	
	A91	WO 2000/021364	04-20-2000	Univ Auburn; Tuzun Sadik; Yegen Oktay	
	A92	WO 2000/049865	08-31-2000	The Van Kampen Group, Inc	
	A93	WO 2000/051435	09-08-2000	Univ Auburn; Uniroyal Chem Co Inc.	
	A94	WO 2000/053020	09-14-2000	Ecosmart Technologies Inc; Bessette, Steven M.	
	A95	WO 2001/011006	02-15-2001	Fluid Technologies Plc	
	A96	WO 2002/002213	01-10-2002	Zylepsis Limited	
	A97	WO 2002/085314	10-31-2002	Fabryka Kosmetyków 'Pollena-Ewa' S.A.	
	A98	WO 2003/070286	08-28-2003	XIMED Group	
	A99	WO 2003/069993	08-28-2003	Pharmessen Scientific	
	A100	WO 2003/020024	03-13-2003	Eden Research Plc	
	A101	WO/2003/028451	04-10-2003	State of Israel, et al	
	A102	WO/2003/089561	10-30-2003	The Procter & Gamble Company	
	A103	WO 2004/037004	05-06-2004	CSM Nederland B.V.	
	A104	WO 2004/037232	05-06-2004	MICAP PLC	
	A105	WO 2004/045588	06-03-2004	MICAP PLC	
	A106	WO 2004/084947	10-07-2004	MICAP PLC	
	A107	WO 2005/113128	12-01-2005	Eden Research Plc (0014P1)	
	A108	WO 2005/102045	11-03-2005	MICAP PLC	
	A109	WO 2005/102508	11-03-2005	MICAP PLC	
	A110	WO 2005/104842	11-10-2005	MICAP PLC	

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				Group Art Unit	1751	
				Examiner Name	Unassigned	
	A111	WO 2005/070213	08-04-2005	Eden Research Plc (0013P1)		Yes
	A112	WO 2006/100308	09-28-2006	MICAP PLC		

**NON-PATENT DOCUMENTS**

Examiner's Initials	Cite No.	Non-Patent Citations (include Author, Title, Publisher, Relevant Pages, Date and Place of Publication)
	A113	<del>Abegaz, B. M. Polyacetylenic thiophenes and terpenoids from the roots of Echinops pappii. Phytochemistry. 30(3):879-881. ABSTRACT</del>
	A114	Abid, M., Sultan, V., Zaki, M. J., Maqbool, M. A. 1997. Nematicidal properties of Stoechospermum marginatum, a seaweed. Pakistan Journal of Phytopathology. 9(2):143-147. ABSTRACT
	A115	Aikawa, T., Togashi, K. 1999. An effect of pine volatiles on departure of Bursaphelenchus xylophilus (Nematoda: aphelenchoididae) from Monochamus alternatus (Coleoptera: Cerambycidae). pp. 127-131 in Sustainability of pine forests in relation to pine wilt and decline. Proceedings of International Symposium. Shokado Shoten, Kyoto. ABSTRACT
	A116	Akao N, Goto Y, Kondo K, Tsuda Y. Changing chemosusceptibility in the second-stage larvae of Toxocara canis by long-term incubation. J Helminthol. 1993 Jun;67(2):145-50. ABSTRACT
	A117	Asakawa, Y. 1999. Phytochemistry of bryophytes. Biologically active terpenoids and aromatic compounds from liverworts. Ed. Romeo, J. T. pp. 319-342 in Phytochemicals in human health protection, nutrition, and plant defense. Kluwer Academic/Plenum Publishers, New York. ABSTRACT
	A118	Bae et al. 1998. Anti-Helicobacter pylori activity of herbal medicines. Biol. Pharm. Bull., 21(9) 990-992.
	A119	Bard et al. 1988. Geraniol interferes with membrane functions in strains of Candida and Saccharomyces. Lipids 23(6):534-538.
	A120	Bauske EM; Rodriguezkabana R; Estaun V; Kloepper JW; Robertson DG; Weaver CF; King PS. 1995. Management of Meloidogyne-Incognita on Cotton by use of Botanical Aromatic-Compounds. Nematropica 24: 143-150. ABSTRACT
	A121	Bauske, E. M., Backman, P. A., Harper, K. M., Brannen, P. M., Rodriguez-Kabana, R., Kloepper, J. W. 1997. Effect of botanical aromatic compounds and seed-surface pH on growth and colonization of cotton plant growth-promoting rhizobacteria. Biocontrol Science and Technology. 7(3):415-421. ABSTRACT
	A122	Blagburn, B. L. 2002. Changing trends in ectoparasite control. Eds. Thoday, K. L., Foil, C. S., Bond, R. pp. 59-68. ABSTRACT
	A123	Borris, R. P., Schaeffer, J. M. Antiparasitic agents from plants. 1992. pp. 117-158 in Phytochemical resources for medicine and agriculture. Eds. Nigg, H.N.; Seigler, D. Plenum Press, New York. ABSTRACT
	A124	Chaumont and Leger. 1992. Campaign against allergenic moulds in dwellings. Inhibitor properties of essential oil of Geranium 'Bourbon', citronellol, geraniol and citral. Ann Pharm Fr 50(3):156-166. ABSTRACT

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		First Named Inventor	Franklin, et al.
		Group Art Unit	1751
		Examiner Name	Unassigned
A125	Chavarria-Carvajal, Jose A. 1997. Use Of Organic Amendments And Naturally Occurring Aromatic Compounds For Control Of Plant-Parasitic Nematodes: Effects On Microbial Activity And Soil Enzymes (Meloidogyne Incognita, Phytonematodes, Benzaldehyde, Biological Control). Auburn University. Vol. 58-07b. ABSTRACT		
A126	Chitwood DJ. Phytochemical based strategies for nematode control. Annu Rev Phytopathol. 2002;40:221-49. ABSTRACT		
A127	Chitwood, D. J. 1993. Naturally occurring nematicides. Eds. Duke, S. O., Menn, J. J., Plimmer, J. R. pp. 300-315 in Pest control with enhanced environmental safety. American Chemical Society (ACS), Washington. ABSTRACT		
A128	Crowell and Gould. 1994. Chemoprevention and therapy of cancer by d-limonene. Crit Rev Oncog 5(1):1-22		
A129	Crowell et al., 1996. Antitumorigenic effects of limonene and perillyl alcohol against pancreatic and breast cancer.. Adv Exp Med Biol 401:131-136		
A130	Duke, S. O. 1991. Plant terpenoids as pesticides. pp. 269-296 in Handbook of natural toxins. Volume 6. Toxicology of plant and fungal compounds. Eds. Keeler, R.F.; Tu, A.T. Marcel Dekker, Inc., New York. ABSTRACT		
A131	Elegbede et al., 1984. Inhibition of DMBA-induced mammary cancer by the monoterpene d-limonene. Carcinogenesis 5(5):661-664		
A132	Elegbede et al., 1986. Regression of rat primary mammary tumors following dietary d-limonene. J Natl Cancer Inst 76(2):323-325		
A133	Elson and Yu, 1994. The chemoprevention of cancer by mevalonate-derived constituents of fruits and vegetables. J Nutr. 124:607-614		
A134	Enwerem, N. M., Okogun, J. I., Wambebe, C. O., Okorie, D. A., Akah, P. A.. 2001. Anthelmintic activity of the stem bark extracts of <i>Berlinia grandiflora</i> and one of its active principles, betulinic acid. Phytomedicine 8(2):112-114. ABSTRACT		
A135	Estaun, V., Camprubi, A., Calvet, C., Pinochet, J., Rodriguez-Kabana, R. 2001. Evaluation of natural chemical compounds against root-lesion and root-knot nematodes and side-effects on the infectivity of arbuscular mycorrhizal fungi. European Journal of Plant Pathology. 107(6); 601-605. ABSTRACT		
A136	Firman, K., Kinoshita, T., Itai, A., Sankawa, U. 1988. Terpenoids from <i>Curcuma heyneana</i> . Phytochemistry. 27(12):3887-3891. ABSTRACT		
<del>A137</del>	<del>Gundersen, D.F., Lee, L-M. Ultrasensitive detection of phytoplasmas by nested-PCR assays using two universal primer pairs.</del>		
A138	Hooser, et al., 1986. Effects of an insecticidal dip containing d-limonene in the cat. J Am Vet Med Assoc 189(8):905-908		
A139	Ishii, 1993. Antibacterial activity of teprenone, a non water-soluble antiulcer agent, against helicobacter pylori. Int J Med Microbiol virol Parasitol Infect Dis 280(1-2):239-243		

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	A140	JinNian, Z., Ping, J., CangSong, W., ShengLi, S., LiYuan, J., ChangChun, L.. 2000. Studies on Monochamus alternatus attractants and the attractability. Forest Research, Beijing 13(3):262-267. ABSTRACT		
	A141	Kadota et al. 1997. Antibacterial activity of trichorabdal A from Rhabdosia trichocarpa against Helicobacter pylori. Zentralblatt fur Bakteriologie. 286(1):63-7		
	A142	Karlson et al., 1996. Inhibition of tumor cell growth by monoterpenes in vitro: evidence of a Ras-independent mechanism of action. Anticancer Drugs 7(4):422- 429		
	A143	Khoshkhoo, N., Hedin, P. A., McCarty, J. C., Jr. 1994. Terpenoid aldehydes in root-knot nematode susceptible and resistant cottonseeds as determined by HPLC and aniline methods. Journal of Agricultural and Food Chemistry. 42(3):804-806. ABSTRACT		
	A144	Khoshkhoo, N., Hedin, P.A., McCarty, J.C. Terpenoid aldehydes in root-knot nematode susceptible and resistant cotton plants. J. Agric. Food Chem.; 1994; 42(1) pp 204 – 208. ABSTRACT		
	A145	Khoshkhoo, N., Hedin, P.A., McCarty, J.C.. Effects of bioregulators on the terpenoid aldehydes in root-knot nematode infected cotton plants. J. Agric. Food Chem.; 1993; 41(12) pp 2442 – 2446. ABSTRACT		
	A146	Kim et al., 1995. Antibacterial Activity of Some Essential Oil Components against Five Foodborne Pathogens. J Agric Food Chem 43:2839-2845		
	A147	Kokalis-Burelle, N., Kloepper, J. W., Rodriguez-Kabana, R. 1999. Organic amendments and natural chemicals as components of transplant mixes for control of root-knot nematode. Phytopathology. 89(6 SUPPL.): S41. ABSTRACT		
	A148	Kokalis-Burelle, N., N. Martinez-Ochoa, R. Rodriguez-Kabana, and J. W. Kloepper. 2002. Development of multi-component transplant mixes for suppression of Meloidogyne incognita on tomato (Lycopersicon esculentum). Journal of Nematology 34: 362-369. ABSTRACT.		
	A149	Mahajan, R., Singh, P., Bajaj, K. L., Kalsi, P. S. 1986. Nematicidal activity of some sesquiterpenoids against rootknot nematode (Meloidogyne incognita). Nematologica. 32(1):119-123. ABSTRACT		
	A150	Mangel, M. S., Sangwan, N. K., Dhindsa, K. S., Verma, K. K., Bhatti, D. S. 1987. Nematicidal efficacy of some monoterpenes and related derivatives. Pesticides. 11(5):30-32. ABSTRACT		
	A151	Mikhlin et al. 1983. Antifungal and antimicrobial activity of beta-ionone and vitamin A derivatives]. Prikl Biokhim Mikrobiol. 19:795-803 ABSTRACT		
	A152	Milman, I. A. Alanto- and isoalantolactones. 1990. Chemistry of Natural Compounds. 26(3):251-262. ABSTRACT		
	A153	Moleyar and Narasimham, 1992. Antibacterial activity of essential oil components. Int J Food Microbiol 16(4):337-342		
	A154	Momin, R. A., Ramsewak, R. S., Nair, M. G. 2000. Bioactive compounds and 1,3-di(9-cis)-9-octadecenoyl-2-(9-cis,cis)-9,12-octadecadienoyl glycerol from Apium graveolens L. seeds. Journal of Agricultural and Food Chemistry. 48(9):3785-3788. ABSTRACT		

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Group Art Unit 1751

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	A155	Owawunmi. Letters in Applied Microbiology, 1993, 9(3): 105-108
	A156	Pattnaik, et al. 1997. Antibacterial and antifungal activity of aromatic constituents of essential oils. Microbios 89(358):39-46
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Examiner Signature:

Date Considered:

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INFORMATION DISCLOSURE STATEMENT LIST  (Use as many sheets as necessary)		Complete if Known	
		Application Number	10/586,597
		Filing Date	1/24/2005
		First Named Inventor	Franklin, et al.
		Group Art Unit	1751
		Examiner Name	Unassigned
	A171	Xu, Y. L., Wang, D., Li, X. J., Fu, J. 1989. Abietane quinones from <i>Rabdosia lophanthoides</i> . <i>Phytochemistry</i> . 28(1):189-191. ABSTRACT	
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